# THE OSTROGRADSKY METHOD FOR LOCAL SYMMETRIES. CONSTRAINED THEORIES WITH HIGHER DERIVATIVES

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#### Abstract

In the generalized Hamiltonian formalism by Dirac, the method of constructing the generator of local-symmetry transformations for systems with first- and second-class constraints (without restrictions on the algebra of constraints) is obtained from the requirement for them to map the solutions of the Hamiltonian equations of motion into the solutions of the same equations. It is proved that second-class constraints do not contribute to the transformation law of the local symmetry entirely stipulated by all the first-class constraints (and only by them). A mechanism of occurrence of higher derivatives of coordinates and group parameters in the symmetry transformation law in the Noether second theorem is elucidated. It is shown that the obtained transformations of symmetry are canonical in the extended (by Ostrogradsky) phase space. An application of the method in theories with higher derivatives is demonstrated with an example of the spinor Christ – Lee model.

1. When realizing various quantization schemes of gauge theories (covariant, canonical, BRST), the explicit form of gauge transformations is needed. At present there appear constrained theories for which the explicit form of gauge transformations is unknown though the presence of first-class constraints in a theory testifies to their existence. These are theories considering, for example, a relativistic particle with torsion and curvature, supersymmetric models, Siegel's symmetries, supergravity. Therefore, it is desirable to have a method of constructing gauge transformations on the given Lagrangian.

Earlier, for constrained special-form theories with first- and second-class constraints (when the first-class primary constraints are the ideal of a quasi-algebra of all the first-class constraints), we have suggested the method of constructing local-symmetry transformations [1]. However, in the existing literature there are examples of Lagrangians where this condition on constraints does not hold (see, e.g., [2] and references there). Then it was natural to ask: Can the local-symmetry transformations be obtained in these theories? What is a role of second-class constraints under these transformations and, generally, what is the nature of the Lagrangian degeneracy in this case? For example, in ref.[4] it is stated that in the mentioned example the gauge transformation generators do not exist for the Hamiltonian formalism though for the Lagrangian one the gauge transformations may be constructed; and in ref.[5] one even asserts that second-class constraints contribute also to a generator of gauge transformations.

Here, suggesting the method of constructing local-symmetry transformations in the general case without restrictions on their algebra, we answer the formulated questions,

manifest the mechanism of appearance of higher derivatives of coordinates and group parameters in the transformation law and show the obtained transformations to be canonical in the extended (by Ostrogradsky) phase space.

2. In ref.[3] we have suggested the method of the separation of constraints into the first- and second-class ones by passing to the canonical set of constraints, which is always possible. Therefore, below we consider a dynamical system with the canonical set  $(\Phi_{\alpha}^{m_{\alpha}}, \Psi_{a_i}^{m_{a_i}})$  of first- and second-class constraints, respectively  $(\alpha = 1, \dots, F, m_{\alpha} = 1, \dots, M_{\alpha}; a_i = 1, \dots, A_i, m_{a_i} = 1, \dots, M_{a_i}, i = 1, \dots, n)$ , properties of which are expressed by the following Poisson brackets:

$$\{\Phi_{\alpha}^{m_{\alpha}}, H\} = g_{\alpha \beta}^{m_{\alpha}m_{\beta}} \Phi_{\beta}^{m_{\beta}}, \quad m_{\beta} = 1, \dots, m_{\alpha} + 1, \tag{1}$$

$$\{\Psi_{a_i}^{m_{a_i}}, H\} = \bar{g}_{a_i}^{m_{a_i}m_{\alpha}} \Phi_{\alpha}^{m_{\alpha}} + \sum_{k=1}^{n} h_{a_i}^{m_{a_i}m_{b_k}} \Psi_{b_k}^{m_{b_k}}, \quad m_{b_n} = m_{a_i} + 1, \tag{2}$$

$$\{\Phi_{\alpha}^{m_{\alpha}}, \Phi_{\beta}^{m_{\beta}}\} = f_{\alpha}^{m_{\alpha}m_{\beta}m_{\gamma}} \Phi_{\gamma}^{m_{\gamma}}, \tag{3}$$

$$\{\Phi_{\alpha}^{m_{\alpha}}, \Psi_{a_i}^{m_{a_i}}\} \stackrel{\Sigma}{=} 0 \tag{4}$$

with

$$H = H_c + \sum_{k=1}^{n} (\mathbf{K}^{1\ k})_{b_k}^{-1} {}_{a_k} {\{\Psi_{a_k}^k, H_c\}} {\Psi_{b_k}^1}$$

being a first-class function [6];  $H_c$  is the canonical Hamiltonian. The general properties of the structure functions are given in work [3]. The canonical set is characterized by that each second-class constraint has the vanishing Poisson brackets (on the constraint surface) with all the constraints of the system except one, and the first-class constraints have the vanishing Poisson brackets with all the constraints.

A group of phase-space coordinate transformations that maps each solution of the Hamilton equations of motion into the solution of the same equations will be called the symmetry transformation.

Consider the Hamilton equations of motion in the following form:

$$\begin{cases}
\dot{q}_i \stackrel{\Sigma_1}{\approx} \{q_i, H_T\}, & \dot{p}_i \stackrel{\Sigma_1}{\approx} \{p_i, H_T\}, & i = 1, \dots, N, \\
\Psi_{a_k}^1 \stackrel{\Sigma_1}{\approx} 0, & a_k = 1, \dots, A_k \ (k = 1, \dots, n), \\
\Phi_{\alpha}^1 \stackrel{\Sigma_1}{\approx} 0, & \alpha = 1, \dots, F,
\end{cases} (5)$$

where  $H_T = H + u_\alpha \Phi_\alpha^1$ ;  $u_\alpha$  are undetermined Lagrange multipliers; the symbol  $\stackrel{\Sigma_1}{\approx}$  means weak equality on the primary-constraints surface  $\Sigma_1$ .

Consider also the transformations of the phase-space coordinates

$$\begin{cases}
q_i' = q_i + \delta q_i, & \delta q_i = \{q_i, G\}, \\
p_i' = p_i + \delta p_i, & \delta p_i = \{p_i, G\}
\end{cases}$$
(6)

with the generator G sought in the form

$$G = \varepsilon_{\alpha}^{m_{\alpha}} \Phi_{\alpha}^{m_{\alpha}} + \eta_{a_i}^{m_{a_i}} \Psi_{a_i}^{m_{a_i}}. \tag{7}$$

To recognize a role of the second-class constraints in gauge transformations in this general case, we consider them on the same basis as the first-class constraints.

We will require the transformed quantities  $q'_i(t)$  and  $p'_i(t)$  defined by (6) to be solutions of the Hamilton equations of motion (5) provided that the initial  $q_i(t)$  and  $p_i(t)$  do this, i.e.

$$\dot{q}_i' \stackrel{\Sigma_1}{\approx} \frac{\partial H_T'}{\partial p_i}(q', p'), \qquad \dot{p}_i' \stackrel{\Sigma_1}{\approx} -\frac{\partial H_T'}{\partial q_i}(q', p'), \quad i = 1, \dots, N,$$
 (8)

$$\Psi_{a_k}^1(q', p') \stackrel{\Sigma_1}{\approx} 0, \quad a_k = 1, \dots, A_k \ (k = 1, \dots, n), \tag{9}$$

$$\Phi^1_{\alpha}(q', p') \stackrel{\Sigma_1}{\approx} 0, \quad \alpha = 1, \dots, F,$$
(10)

where  $H'_T = H_T + \delta u_\alpha(t) \Phi^1_\alpha(q,p) = H + u'_\alpha(t) \Phi^1_\alpha(q,p)$ . Here it is taken into consideration that the transformations (6) must conserve the primary-constraints surface  $\Sigma_1$ . This can easily be interpreted if one remembers that  $\Sigma_1$  is the whole  $(q,\dot{q})$ -space image in the phase space. Since under the operation of the local-symmetry transformation group the  $(q,\dot{q})$ -space is mapped into itself in a one-to-one manner, therefore, the one-to-one mapping of  $\Sigma_1$  into itself corresponds to that in the phase space. Therefore, it is natural to require

$$\{\Psi^{1}_{a_{k}}, G\} \stackrel{\Sigma_{1}}{=} 0, \qquad \{\Phi^{1}_{\alpha}, G\} \stackrel{\Sigma_{1}}{=} 0.$$
 (11)

The realization of the first condition (11) would mean [2]

$$\eta_{a_i}^i = 0 \qquad \text{for } i = 1, \dots, n. \tag{12}$$

As to the second condition (11), we rewrite it in the form:

$$\{\Phi_{\alpha}^{1},G\} = \left(f_{\alpha}^{1} \frac{m_{\beta}}{\beta} \frac{1}{\gamma} \Phi_{\gamma}^{1} + f_{\alpha}^{1} \frac{m_{\beta}}{\beta} \frac{m_{\gamma}}{\gamma} \Phi_{\gamma}^{m_{\gamma}}\right) \varepsilon_{\beta}^{m_{\beta}} + \{\Phi_{\alpha}^{1}, \Psi_{a_{i}}^{m_{a_{i}}}\} \eta_{a_{i}}^{m_{a_{i}}} \stackrel{\Sigma_{1}}{=} 0, \tag{13}$$

The last term in (13) vanishes due to (4); therefore, the equality (13) would be satisfied if  $f_{\alpha}^{1} \frac{m_{\beta}}{\beta} \frac{m_{\gamma}}{\gamma} = 0$  for  $m_{\gamma} \geq 2$ . This case is considered in [1]. Here we consider the general case when

$$f_{\alpha}^{1} \xrightarrow{m_{\beta}} \xrightarrow{m_{\gamma}} \neq 0$$
 for  $m_{\gamma} \geq 2$ .

One can show [2] that one can always pass to an equivalent set of constraints  $\tilde{\Phi}_{\beta}^{m_{\beta}}$  for which  $\tilde{f}_{\alpha}^{1} \frac{m_{\beta}}{\beta} \frac{m_{\gamma}}{\gamma} = 0$  (for  $m_{\gamma} \geq 2$ ) and

$$\{\tilde{\Phi}_{\alpha}^{1}, \tilde{\Phi}_{\beta}^{m_{\beta}}\} = \tilde{f}_{\alpha}^{1} \, \beta_{\gamma}^{m_{\delta}} \, \tilde{\Phi}_{\gamma}^{1}, \tag{14}$$

which is needed for the realization of (13). The corresponding transformation is

$$\tilde{\Phi}_{\beta}^{m_{\beta}} = C_{\beta \ \alpha}^{m_{\beta}m_{\alpha}} \Phi_{\alpha}^{m_{\alpha}}, \qquad \det \left\| C_{\beta \ \alpha}^{m_{\beta}m_{\alpha}} \right\|_{\Sigma} \neq 0,$$

where  $C_{\beta}^{1} \frac{m_{\alpha}}{\alpha} = \delta_{\beta\alpha}$  for any  $m_{\alpha}$ ; and elements  $C_{\beta}^{m_{\beta}m_{\gamma}}$  for  $m_{\beta}, m_{\gamma} \geq 2$  satisfy the system of equations

$$\{\Phi_{\alpha}^{1}, C_{\beta}^{m_{\beta}m_{\gamma}}\} + f_{\alpha}^{1} \int_{\delta}^{m_{\delta}m_{\gamma}} C_{\beta}^{m_{\beta}m_{\delta}} = 0,$$

which is fully integrable [2]. Considering this transition to be carried out, we shall below omit the mark "~".

Now from (5),(8) and the Jacobi identities for  $(q_i, G, H'_T)$  and  $(p_i, G, H'_T)$  and taking into account (1) - (4) and (11), we obtain [2]:

$$\left(\dot{\varepsilon}_{\alpha}^{m_{\alpha}} + \varepsilon_{\beta}^{m_{\beta}} g_{\beta \alpha}^{m_{\beta}m_{\alpha}} + \sum_{i=1}^{n} \eta_{a_{i}}^{m_{a_{i}}} \bar{g}_{a_{i}\alpha}^{m_{a_{i}}m_{\alpha}}\right) \Phi_{\alpha}^{m_{\alpha}} + \sum_{i,k=1}^{n} \left(\dot{\eta}_{a_{i}}^{m_{a_{i}}} + \eta_{b_{k}}^{m_{b_{k}}} h_{b_{k}a_{i}}^{m_{b_{k}}m_{a_{i}}}\right) \Psi_{a_{i}}^{m_{a_{i}}} \stackrel{\Sigma_{1}}{=} 0. \quad (15)$$

In view of the functional independence of constraints  $\Phi_{\alpha}^{m_{\alpha}}$  and  $\Psi_{a_{i}}^{m_{a_{i}}}$ , in order to satisfy the equality (15), one must demand the coefficients of constraints  $\Phi_{\alpha}^{m_{\alpha}}$  ( $m_{\alpha} \geq 2$ ) and  $\Psi_{a_{i}}^{m_{a_{i}}}$  ( $m_{a_{i}} \geq 2$ ) to vanish. Consider the requirement of vanishing the coefficients of constraints  $\Psi_{a_{i}}^{m_{a_{i}}}$  ( $m_{a_{i}} \geq 2$ ,  $i = 2, \dots, n$ ,  $a_{i} = 1, \dots, A_{i}$ ):

$$\dot{\eta}_{a_i}^{m_{a_i}} + \sum_{k=1}^n \eta_{b_k}^{m_{b_k}} h_{b_k}^{m_{b_k}} a_i^{m_{a_i}} = 0.$$
 (16)

Taking (12) into account, one can see that this system of equations has only a trivial solution, since one can show by the proof by contradiction [1] that

$$\det \|h_{b_i}^{i-k-1} \|_{a_i}^{i-k}\| \neq 0.$$

Therefore, all quantities  $\eta_{a_i}^{m_{a_i}}$  vanish, i.e. the second-class constraints do not contribute to the generator of local-symmetry transformations unlike the assertions in refs.[5].

So, to satisfy the equality (15), we must now require vanishing the coefficients of  $\Phi_{\alpha}^{m_{\alpha}}$  in (15), i.e. determine the multipliers  $\varepsilon_{\alpha}^{m_{\alpha}}$  in the generator (7) from the system of equations

$$\dot{\varepsilon}_{\alpha}^{m_{\alpha}} + \varepsilon_{\beta}^{m_{\beta}} g_{\beta \alpha}^{m_{\beta} m_{\alpha}} = 0, \qquad m_{\beta} = m_{\alpha} - 1, \cdots, M_{\alpha}, \tag{17}$$

which is solved by the procedure of reparametrization with introducing F arbitrary functions  $\varepsilon_{\alpha}(t) \equiv \varepsilon_{\alpha}^{M_{\alpha}}$  [?]. As a result, we obtain the generator in the form

$$G = B_{\alpha \beta}^{m_{\alpha}m_{\beta}} \phi_{\alpha}^{m_{\alpha}} \varepsilon_{\beta}^{(M_{\alpha} - m_{\beta})}, \qquad m_{\beta} = m_{\alpha}, \cdots, M_{\alpha}, \tag{18}$$

where  $\varepsilon_{\beta}^{(M_{\alpha}-m_{\beta})} \equiv (d^{M_{\alpha}-m_{\beta}}/dt^{M_{\alpha}-m_{\beta}})\varepsilon_{\beta}(t)$ ; and  $B_{\alpha}^{m_{\alpha}m_{\beta}}$  are, generally speaking, functions of q and p and their derivatives up to the order  $M_{\alpha}-m_{\alpha}-1$ .

The corresponding transformations of local symmetry in the Lagrangian formalism are determined in the following way

$$\delta q_i(t) = \{q_i(t), G\}\Big|_{p=\partial L/\partial \dot{q}}, \qquad \delta \dot{q}(t) = \frac{d}{dt}\delta q(t).$$

When deriving the gauge transformation generator the employment of the obtained system (17) is important, the solution of which manifests the mechanism of appearance of higher derivatives of coordinates and group parameters in the Noether transformation law in the configuration space, the highest possible order of coordinate derivatives being determined by the structure of the first-class constraint algebra, and the order of the highest derivative of group parameters in the transformation law equals  $(M_{\alpha} - 1)$ .

**3.** When a generator G depends on higher derivatives of coordinates and momenta (e.g., Polyakov's string and others [2]), this indicates that gauge transformations in the

configuration space also depend on higher derivatives of q. Under those transformations we have

$$L' = L(q, \dot{q}) + \frac{d}{dt}F(q, \dot{q}, \ddot{q}, \dots, \varepsilon, \dot{\varepsilon}, \dots)$$
(19)

where  $\varepsilon(t)$  are the group parameters. Adding to Lagrangian  $L(q,\dot{q})$  the total time derivative of a function which depends also on higher derivatives does not change the Lagrange equations of motion. But L' is the Lagrangian with higher derivatives. Therefore, the Hamiltonian formulation of the theory with the Lagrangian L must be built in the same extended (by Ostrogradsky) phase space as it is the case for L'.

We construct the extended phase space using the formalism of theories with higher derivatives [7] and determine the coordinates as follows

$$q_{1 i} = q_i, \quad q_{s i} = \frac{d^{s-1}}{dt^{s-1}} q_i, \quad s = 2, \dots, K, \quad i = 1, \dots, N$$
 (20)

where K equals the highest order of derivatives of q and p. The conjugate momenta defined by the formula of theories with higher derivatives [7]

$$p_{r i} = \sum_{l=r}^{K} (-1)^{l-r} \frac{d^{l-r}}{dt^{l-r}} \frac{\partial L}{\partial q_{r+1 i}}$$
(21)

are

$$p_{1 i} = p_i, \quad p_{s i} = 0 \quad \text{for} \quad s = 2, \dots, K.$$

The generalized momenta for  $s \geq 2$  are extra primary constraints of the first class. Now the total Hamiltonian is written down as

$$\overline{H}_T = H_T(q_{1\ i}, p_{1\ i}) + \lambda_{s\ i}\ p_{s\ i}, \quad s \ge 2,$$

where  $H_T$  is of the same form as in the initial phase space and  $\lambda_{s\ i}$  are arbitrary functions of time. Additional secondary constraints corresponding to  $p_{s\ i}$  for  $s \ge 2$  do not appear.

Now the Poisson brackets are determined in the following way

$$\{A, B\} = \frac{\partial A}{\partial q_{r\,i}} \frac{\partial B}{\partial p_{r\,i}} - \frac{\partial A}{\partial p_{r\,i}} \frac{\partial B}{\partial q_{r\,i}}.$$

Further, constructing gauge transformations, one must operate by the described method. However, before to determine  $\varepsilon_{\alpha}^{m_{\alpha}}$  from the system of equations (17), for derivatives of  $p_{1}$  is we shall make the following replacements:

$$p_{1 i} = \frac{\partial L}{\partial q_{2 i}} = h_0^i(q_{1 k}, q_{2 k}), \qquad i, k = 1, \dots, N,$$

$$\dot{p}_{1 i} = \frac{\partial h_0^i}{\partial q_{1 n}} q_{2 n} + \frac{\partial h_0^i}{\partial q_{2 n}} q_{3 n} = h_1^i(q_{1 k}, q_{2 k}, q_{3 k}),$$

$$\vdots$$

$$p_{1 i}^{(M_{\alpha}-2)} = h_{M_{\alpha}-2}^i(q_{1 k}, q_{2 k}, \dots, q_{M_{\alpha}-1 k}).$$
(22)

So, as a result, we shall obtain the generator  $\overline{G}$  in the extended phase space

$$\overline{G} = B_{\alpha \beta}^{m_{\alpha}m_{\beta}} \Phi_{\alpha}^{m_{\alpha}} \varepsilon_{\beta}^{(M_{\alpha}-m_{\beta})} + \varepsilon_{s i} p_{s i}, \quad m_{\beta} = m_{\alpha}, \cdots, M_{\alpha}, \quad s = 2, \cdots, K,$$
 (23)

where  $B_{\alpha}^{m_{\alpha}m_{\beta}}(q_{1}, \dots, q_{M_{\alpha}-1}; p_{1}; p_{1})$ , being just in the same forms as in the initial phase space, are written, however, with taking account of the above-indicated replacements;  $\varepsilon_{s}$  is are supplementary group parameters. Note that the obtained generator (23) satisfies the group property  $\{\overline{G}_{1}, \overline{G}_{2}\} = \overline{G}_{3}$ . Now the local-symmetry transformations of the coordinates of the initial phase space in the extended one are of the form

$$\begin{cases}
\delta q_{1 k} = \varepsilon_{\beta}^{(M_{\alpha} - m_{\beta})} \{q_{1 k}, B_{\alpha \beta}^{m_{\alpha} m_{\beta}} (q_{1 i}, \dots, q_{M_{\alpha} - 1 i}; p_{1 i}) \phi_{\alpha}^{m_{\alpha}} (q_{1 i}, p_{1 i})\}, \\
\delta p_{1 k} = \varepsilon_{\beta}^{(M_{\alpha} - m_{\beta})} \{p_{1 k}, B_{\alpha \beta}^{m_{\alpha} m_{\beta}} (q_{1 i}, \dots, q_{M_{\alpha} - 1 i}; p_{1 i}) \phi_{\alpha}^{m_{\alpha}} (q_{1 i}, p_{1 i})\}.
\end{cases} (24)$$

To within quadratic terms in  $\delta q_{ik}$  and  $\delta p_{jn}$ ,

$$\{q_{i\ k} + \delta q_{i\ k}, p_{j\ n} + \delta p_{j\ n}\} = \delta_{ij}\delta_{kn},$$

*i.e.*, the obtained transformations of local symmetry are canonical in the extended (by Ostrogradsky) phase space.

**4. Example.** This method of constructing the generator of gauge transformations can also be applied in theories with higher derivatives, where in constructing the phase space one must use the Ostrogradsky method. We demonstrate this with an example of the spinor Christ – Lee model. Consider the Lagrangian

$$L = \frac{1}{2} \left[ (\partial_t + yT)^2 \stackrel{\text{B}}{x} \right]^2 + \psi^+ (i\partial_t + y)\psi + m\psi^+ \psi, \tag{25}$$

where

$$\overset{\mathrm{B}}{x} = \left( \begin{array}{c} x_1 \\ x_2 \end{array} \right), \quad T = \left( \begin{array}{cc} 0 & -1 \\ 1 & 0 \end{array} \right), \quad = \left( \begin{array}{cc} 1 & 0 \\ 0 & -1 \end{array} \right).$$

Since L in (25) depends on  $\overset{\text{fl}}{x}$ , passing to the Hamiltonian formalism we construct the extended phase space (by Ostrogradsky) as follows: We determine the coordinates

$$\overset{\text{B}}{q}_1 = \overset{\text{B}}{x}, \quad \overset{\text{B}}{q}_2 = \overset{\text{B}}{x}, \quad q_1 = y, \quad q_2 = \dot{y}, \quad \psi \quad \text{and} \quad \psi^+.$$

Then the generalized momenta are determined by formula (21); and we obtain three primary constraints

$$\phi_1^1 = p_2, \quad \phi_2^1 = \pi_{\psi} - i\psi^+, \quad \phi_3^1 = \pi_{\psi^+}$$

and

$$H_c = \frac{1}{2} \stackrel{\text{B}^2}{p_2} + \stackrel{\text{B}}{p_1} \stackrel{\text{B}}{q_2} + p_1 q_2 - q_1^2 \stackrel{\text{B}}{p_2} \stackrel{\text{B}}{q_1} - 2q_1 \stackrel{\text{B}}{p_2} T \stackrel{\text{B}}{q_2} - q_2 \stackrel{\text{B}}{p_2} T \stackrel{\text{B}}{q_1} - q_1 \psi^+ \psi - m \psi^+ \psi.$$

Among the conditions of the constraint conservation in time  $\dot{\psi}_i^1 = 0$  (i = 1, 2, 3) the last two ones serve for determining the Lagrange multipliers. From the first condition we obtain one secondary constraint

$$\phi_1^2 = \stackrel{\text{fl}}{p_2} T \stackrel{\text{fl}}{q_1} - p_1$$

and the tertiary constraint

$$\phi_1^3 = -\stackrel{\text{fl}}{p}_2 T \stackrel{\text{fl}}{q}_2 - \stackrel{\text{fl}}{p}_1 T \stackrel{\text{fl}}{q}_1 - i\pi_{\psi}\psi$$

and there do not arise more constraints. Calculating the matrix  $\mathbf{W} = \|\{\phi_{\alpha}^{m_{\alpha}}, \phi_{\beta}^{m_{\beta}}\}\|$ , we obtain that rank  $\mathbf{W} = 2$ ; therefore, two constraints are of second class and the three ones are of first class. Now we pass to the canonical set of constraints by the equivalence transformation:

$$\begin{pmatrix}
\Psi_{1}^{1} \\
\Psi_{2}^{1} \\
\Phi_{1}^{1} \\
\Phi_{1}^{2}
\end{pmatrix} = \begin{pmatrix}
1 & 0 & 0 & 0 & 0 \\
0 & 1 & 0 & 0 & 0 \\
0 & 0 & 1 & 0 & 0 \\
0 & 0 & 0 & 1 & 0 \\
i\psi & -i\psi^{+} & 0 & 0 & 1
\end{pmatrix} \begin{pmatrix}
\phi_{2}^{1} \\
\phi_{3}^{1} \\
\phi_{1}^{1} \\
\phi_{1}^{2} \\
\phi_{1}^{3}
\end{pmatrix} = \begin{pmatrix}
\pi_{\psi} - i\psi^{+} \\
\pi_{\psi^{+}} \\
p_{2} \\
\frac{\beta}{p_{2}} T \stackrel{\beta}{q}_{1} - p_{1} \\
- \stackrel{\beta}{p}_{2}} T \stackrel{\beta}{q}_{2} - \stackrel{\beta}{p}_{1}} T \stackrel{\beta}{q}_{1} - i(\pi_{\psi}\psi + \psi^{+}\pi_{\psi^{+}})
\end{pmatrix}, (26)$$

where the constraints are already separated into the ones of first and second class.

Further, we seek the generator G in the form

$$G = \eta_1^1 \Psi_1^1 + \eta_2^1 \Psi_2^1 + \varepsilon_1^{m_1} \Phi_1^{m_1}, \quad m_1 = 1, 2, 3.$$

From the second condition (11) of the  $\Sigma_1$  conservation we derive  $\eta_1^1 = \eta_2^1 = 0$ , *i.e.*, the constraints of second class do not contribute to G. The first condition (11) is realized because

$$\{\Phi_1^1, \Phi_1^{m_1'}\} = 0 \ (m_1' = 2, 3).$$

Taking into account that  $g_{1\ 1}^{1\ 2}=g_{1\ 1}^{2\ 3}=1$  and all other g's vanish in (1), eq.(17) accepts the form:

$$\dot{\varepsilon}_1^2 - \varepsilon_1^1 = 0, \qquad \dot{\varepsilon}_1^3 - \varepsilon_1^2 = 0,$$

 $i.e., \ \varepsilon_1^2=\dot{\lambda} \ \ {\rm and} \ \ \varepsilon_1^1=\ddot{\lambda} \ \ {\rm where} \ \ \lambda\equiv\varepsilon_1^3.$  Therefore we have

$$G = \ddot{\lambda}(t)p_2 + \dot{\lambda}(t)(r_2T \stackrel{\text{B}}{q}_1 - p_1) - \ddot{\lambda}(t)[\stackrel{\text{B}}{p}_2T \stackrel{\text{B}}{q}_2 + \stackrel{\text{B}}{p}_1T \stackrel{\text{B}}{q}_1 + i(\pi_{\psi}\psi + \psi^+\pi_{\psi^+})],$$

from which it is easily to obtain the gauge transformations in the phase space and the following transformations in the configuration space

$$\delta y = \dot{\lambda}(t), \quad \delta \stackrel{\text{fl}}{x} = \lambda(t)T \stackrel{\text{fl}}{x}, \quad \delta \psi = i\lambda(t)\psi, \quad \delta \psi^+ = -i\lambda(t)\psi^+$$

and to verify that the action is invariant under these transformations ( $\delta L = 0$ ).

5. So, we can state in the general case of theories with first- and second-class constraints (without restrictions on the constraint algebra) that the necessary and sufficient condition for a certain quantity G to be a local-symmetry transformation generator is the

representation of G as a linear combination of all the first-class constraints (and only of them) of the equivalent set of the special form (when the condition (14) is valid) with the coefficients determined by the system of equations (17). (Passing to the indicated equivalent set of constraints is always possible, and the method is presented in [2].) In addition, these are the necessary and sufficient conditions for (6) to be the quasi-invariance transformation of the action functional in both the phase and  $(q, \dot{q})$  space. It is thereby shown in the general case that the action functional and the corresponding Hamiltonian equations of motion are invariant under the same quasigroup of local-symmetry transformations, and the degeneracy of theories with the first- and second-class constraints is due to their invariance under local-symmetry transformations.

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